



## Sequoia Asset Management Adviser Profile – Samuel Wetzler

**AFSL 341506**

**Dated 19 March 2018**

This adviser profile is to be read in conjunction with Sequoia Asset Management Pty Limited ABN 70 135 907 550 Financial Service Guide (FSG).

The Adviser profile sets out the details of the Representative, the services and products they may provide and details of the remuneration they may receive.

### **Representative**

Sam Wetzler is an employee of Sequoia Asset Management and has been appointed by Sequoia Asset Management as a Representative (468394).

### **Areas of Authorisations**

Sam is authorised by Sequoia Asset Management to provide General Advice and No Advice Services and deal in the following financial products:

- Margin Lending
- Basic Deposit Products
- Securities
- Derivatives
- Managed Investment Schemes including investor directed portfolio services
- Government debentures, shares or bonds
- Retirement Savings Accounts
- Superannuation
- Life Risk Insurance

The **General Advice** Sam provides is provided to you without regard to your individual objectives, financial situation or needs. It is not specific advice for your personal circumstances and it is not intended to be relied upon by you as being appropriate for you. Before making any decision about investing in the financial product, you should consider the appropriateness of the general advice presented, having regard to your personal objectives, financial situation and needs. Further, you should read the Product Disclosure Statement or Information Memorandum relative to this advice before you determine to make an investment (if a PDS or IM is required).

The **No Advice** service that Sam provides is to confirm a factual matter (such as the price of a security) or to assist in the acquisition of a financial product (such as the purchase of a number of shares on your personal trading account). Sam will not provide any recommendation in respect of a financial product or its appropriateness for you. You will be making your own investment decisions, including the choice of investment, the dollar amount etc.



Sam is not authorised to provide you financial services advice in any manner in which he recommends, states or infers that a financial product is appropriate for you, or that he has taken into account your personal objectives, financial situation and needs in relation to that financial product.

### **Professional Qualifications**

Sam holds:

Bachelor of Business – University of Technology, Sydney  
Diploma of Financial Planning – Kaplan Business School  
ASX Accredited Derivatives Adviser – Level 1

### **Remuneration**

Sequoia Asset Management retains 100% of all incomes it receives from Sam's activities. This includes upfront fees, brokerage, management fees and initial &/or ongoing commissions paid by you. Sam may receive up to 50% of total revenue he generates for Sequoia inclusive of salary.

### **Other Benefits**

Sam may receive other benefits including attendance at professional development programs, conferences and other incentives such as allowances and other related entertainment benefits.

### **Contact Details**

#### **Licensee – Sequoia Asset Management**

Address:	Level 7, 7 Macquarie Place, Sydney NSW 2000
Postal:	PO BOX R1837, Royal Exchange NSW 1225
Telephone:	02 8114 2222
Email:	samwetzler@sequoia.com.au